





Docket No. AMS-011A

For A Small Entity

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Gerald NMI Dorros et al.

Application No. :

09/972,225

Confirmation No.:

4566

Filed:

October 4, 2001

For:

APPARATUS AND METHODS FOR TREATING STROKE AND

CONTROLLING CEREBRAL FLOW CHARACTERISTICS

Group Art Unit

3763

Hon. Commissioner for Patents Box Missing Parts c/o P. O. Box 2327

Arlington, Virginia 22202

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the November 7, 2001 Notice to File Missing Parts of Application, applicants submit herewith three (3) executed Declarations and Powers of Attorney, a copy of the Notice to File Missing Parts and a check in the amount of \$65.00.

Applicants also submit herewith twenty(20) sheets of formal drawings in compliance with 37 C.F.R. § 1.84 to replace the drawings originally filed in the above-identified patent application.

DEC 2 7 2001

The Commissioner is hereby authorized to charge payment of any additional fees due in connection with the papers transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this response is enclosed.

Respectfully submitted,

Name of Person Signing Certificate

Signature of Person Signing Certificate

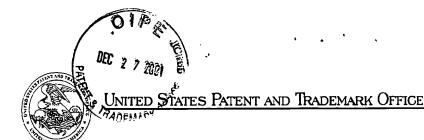
12-120101

Date of Signature

Nicola A. Pisano

Registration No. 34,408 Attorney for Applicants

c/o FISH & NEAVE 1251 Avenue of the Americas New York New York 10020 Tel.: (650) 617-4018





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/972,225

10/04/2001

Gerald N. Dorros

AMS-011A

CONFIRMATION NO. 4566

FORMALITIES LETTER

OC000000007039483

1473 FISH & NEAVE 1251 AVENUE OF THE AMERICAS 50TH FLOOR NEW YORK, NY 10020-1105

Date Mailed: 11/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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